Gap Training and Assessment Procedure

Policy Code: LT1901

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Purpose

Gap training and assessment assists with the management of transitioning students from superseded qualifications to updated versions and the recognition of current competencies. Gap training and assessment recognises that students are entitled to have previous study recognised in the event that qualifications or units are superseded. This occurs through the issuing of partial credits accompanied by relevant gap training to meet the new competency requirements.

This procedure describes the processes required for the mapping of superseded qualifications to new qualifications, the steps involved to ensure students are enrolled in the correct mode of learning, and are charged only for the training and assessment required.

Scope

This procedure applies to all VET students seeking recognition of any completed units from a previous non-equivalent qualification toward their current studies.

Legislative Context

Definitions

<table>
<thead>
<tr>
<th>Term</th>
<th>Definition</th>
</tr>
</thead>
<tbody>
<tr>
<td>Assessment</td>
<td>The process of collecting evidence and making judgements on whether competency has been achieved, to confirm that an individual can perform to the standard expected in the workplace, as expressed by the relevant endorsed industry/enterprise competency standards of a Training Package or by the learning outcomes of an accredited course.</td>
</tr>
</tbody>
</table>
### Assesment Tools:
Assessment tools include the following components: the context and conditions of assessment; tasks to be administered to the student; an outline of the evidence to be gathered from the candidate; and evidence criteria used to judge the quality of performance (i.e., the assessment decision-making rules).

### Assessors:
Assessors are persons who assess a learner's competence in accordance with clauses 1.11 to 1.13 of *Standards for RTOs*.

### Competency:
Competency is the consistent application of knowledge and skill to the standard of performance required in the workplace. It embodies the ability to transfer and apply skills and knowledge to new situations and environments.

### Credit:
The value assigned for the recognition of equivalence in content and learning outcomes between different types of learning and/or qualifications. Credit reduces the amount of learning required to achieve a qualification and may be through credit transfer, articulation, recognition of prior learning or advanced standing.

### Scope of Registration:
Scope of Registration specifies the Training Package/s, qualifications and/or units of competency for which the Registered Training Organisation (RTO) is audited and registered by the VET Regulatory (Registering Body) to deliver, assess and issue certification. An RTO's scope is noted on [training.gov.au](http://training.gov.au).

### Actions

<table>
<thead>
<tr>
<th>STEPS</th>
<th>WHO IS RESPONSIBLE?</th>
<th>COMMENTS</th>
</tr>
</thead>
<tbody>
<tr>
<td>1. Ensure that the new qualification that is replacing the superseded qualification is on University's Scope of Registration.</td>
<td>Education Manager</td>
<td>Refer to <a href="http://www.training.gov.au">www.training.gov.au</a>, the University RTO ID is 4909.</td>
</tr>
<tr>
<td>2. Prior to Gap Training &amp; Assessment being offered/provided all assessment tools for the units in the new qualification must be available.</td>
<td>Education Manager</td>
<td>Gap Assessment should be conducted using these unit assessment tools.</td>
</tr>
<tr>
<td>3. Undertake mapping of superseded qualification against new qualification. Mapping should be conducted using the &quot;Qualification Mapping Guide&quot; template. All units should be mapped, including equivalent units where credits will be issued to students; and non-equivalent units where Gap Training &amp; Assessment will be delivered.</td>
<td>Education Manager</td>
<td>The &quot;Qualification Mapping Guide&quot; template must include the additional requirements students must achieve to obtain a competency for that unit. A copy of this completed template should be placed in the Qualification File and copies in each student file who is receiving Gap Training &amp; Assessment for this qualification.</td>
</tr>
<tr>
<td>4. Complete &quot;Student Unit Selection Form and Enrolment Plan for Gap Training &amp; Assessment&quot; for each student transitioning for the superseded qualification into the new qualification.</td>
<td>Trainer &amp; Assessor</td>
<td>The Gap and Credit unit details on the &quot;Student Unit Selection Form and Enrolment Plan for Gap Training &amp; Assessment&quot; will be the same for all students.</td>
</tr>
<tr>
<td>STEPS</td>
<td>WHO IS RESPONSIBLE?</td>
<td>COMMENTS</td>
</tr>
<tr>
<td>-------</td>
<td>---------------------</td>
<td>----------</td>
</tr>
<tr>
<td>This form should be completed in accordance with the instructions on the form.</td>
<td></td>
<td>transitioning from the same qualification. Note: You are not required to also complete a unit enrolment sheet.</td>
</tr>
<tr>
<td>5. Ensure that the section for <strong>new units</strong> is completed. New units are any full units that the student will be studying in the current year and that they will not be receiving any Gap Training &amp; Assessment or Credit for.</td>
<td>Trainer &amp; Assessor</td>
<td>As the “Student Unit Selection Form and Enrolment Plan for Gap Training &amp; Assessment” will be used by Student Administration to enrol students in all units and issue credits, this form must be completed fully and signed by a qualified assessor. Note: Students transitioning midway through a qualification/unit of study will complete that qualification/unit of study under the superseded qualification. The end date of the qualification/unit must not exceed the DELTA nominal completion date OR the transition/teach out qualification/unit date.</td>
</tr>
<tr>
<td>6. The completed “Student Unit Selection Form and Enrolment Plan for Gap Training &amp; Assessment” to be attached to the student's current enrolment form and sent to Student Administration for processing.</td>
<td>Trainer &amp; Assessor</td>
<td>Student Administration will enrol all Gap Training &amp; Assessment students as the enrolling of these students require the adjusting of a unit's hours. Student Administration will also process all credits and enrol into all full units. A copy of this form must also be placed in the student's file within the Facility/Centre/College.</td>
</tr>
</tbody>
</table>

**Supporting Documents**

**Forms.**

- [Gap Student Enrolment Plan](#) (XLSX 45.2kb)
- [Gap Training Mapping Template](#) (XLSX 95.0kb)
Responsibility

Promulgation

The Gap Training and Assessment Procedure will be communicated throughout the University via:

1. an Announcement Notice under ‘FedNews’ website and through the University Policy - ‘Recently Approved Documents’ webpage to alert the University-wide community of the approved Policy;
2. inclusion on the University Policy, Procedure and Forms website; and/or
3. distribution of e-mails to Program Managers / University staff; and/or
4. documentation distribution, eg. posters, brochures.
5. Other - please describe

Implementation

The Gap Training and Assessment Procedure will be implemented throughout the University via:

1. Information Sessions; and/or
2. Training Sessions; and/or
3. Other - please describe

Forms/Record Keeping

<table>
<thead>
<tr>
<th>Title</th>
<th>Location</th>
<th>Responsible Officer</th>
<th>Minimum Retention Period</th>
</tr>
</thead>
<tbody>
<tr>
<td>Gap Student Enrolment Plan</td>
<td>Student Centre</td>
<td>Manager, Student Administration Education Manager</td>
<td>7 years after enrolment has expired</td>
</tr>
<tr>
<td>(copy in Student File)</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Gap Training Mapping Template</td>
<td>Qualification File</td>
<td>Education Manager</td>
<td>2 years after qualification has been superseded</td>
</tr>
</tbody>
</table>